



CONFIDENTIAL

INTERNATIONAL CORP.

“Exclusively Private”

THE DEPARTMENT OF RISK AND COMPLIANCE

Risk Assessment and Compliance Form 401 (a)

In full compliance with the Aviation Rules of “**FULL DISCLOSURE OF GOODS TO THE GOVERNMENT AUTHORITIES BEFORE TAKE-OFF**”, and in accordance with Glo-JET’s *Charter Agreement/Terms and Conditions* and to be more specific in **Article 14 .0 “PROHIBITED OR DANGEROUS GOODS**” which states as follows:

“**PROHIBITED OR DANGEROUS GOODS** are not allowed in any of the fleets managed by Glo-JET/IACP&FJO. Customs have defined what dangerous goods entail and the company must adhere to the principles of respecting the Aviation Rules and Regulations. For more information on dangerous goods, please visit U. S. Customs and Border Protection (CBP) website <https://www.cbp.gov/travel/us-citizens/know-before-you-go/prohibited-and-restricted-items>. You can also send an email to charter@glo-jet.com to obtain a copy of the same.”

The Customer is legally required to fill-in the Risk Assessment and Compliance Form 401(a) before the Quotation can be dispatched.

ITEM	Risk Assessment and Compliance Form 401 (a) [E-mail: compliance@glo-jet.com] and Fraud.Alerts@glo-jet.com			
1.	CUSTOMER/CHARTERER FULL NAME: +++ (Fill the same in CAPITAL letters)+++++	● → SURNAME	MIDDLE NAME.	FIRST NAME
2.	CUSTOMER’S CURRENT COUNTRY OF RESIDENCE: +++++	CUSTOMER’S E-MAIL ADDRESS: ++++	CUSTOMER’S PASSPORT NO: +++ (Please attach a coloured copy of the bio page)	
3.	THE CUSTOMER’S COMPANY INFORMATION:	CUSTOMER’S TEL:	THE CUSTOMER’S VERIFIABLE RESIDENTIAL ADDRESS: ++++	
		TYPE OF GOODS TO BE TRANSPORTED +++++		
		WEIGHT OF THE CARGO: ++++++		
		TYPE/MODE OF PACKAGING: +++++ (e.g., metallic boxes) ++++++		
		NUMBER OF BOXES: ++++++		
4.	POSSIBLE FLIGHT TAKE-OFF DATE: +++++	(Y Y Y Y)	(M M)	(D D)
	TOTAL VALUE OF THE CURRENT CARGO: US DOLLARS (\$):	CONFIRM THAT YOU WON’T USE GLO-JET’S NAME IN YOUR PRIVATE CONTRACTS: YES: <input type="checkbox"/> NO: <input type="checkbox"/>		THE PREFERRED DESTINATION:
5.	OFFICIAL TITLE OWNER OF THE PROPOSED CARGO (TO BE SHIPPED) WHICH MUST BE CONSISTENT WITH THE OFFICIAL CUSTOMS ENTRIES: +++++	◆ → SURNAME	MIDDLE NAME.	FIRST NAME
	CUSTOMS CARGO ENTRY NUMBER: +++++	TITLE OWNER’S PASSPORT NUMBER: ◆ → (Please Attach a copy of the same for forensic verification purposes.)		
6.	OFFICIAL BONDED WAREHOUSE HOLDER NAME: +++++	++RELIABLE CONTACT DETAILS OF THE OFFICIAL TITLE OWNER:++		
	OFFICIAL CUSTOMS ACCREDITATION LICENSE NUMBER FOR THE CUSTOMER’S CLEARING AND FORWARDING COMPANY: +++++	OFFICE TEL: _____ (Landline)		
		CELL-PHONE: _____		
		POSTAL ADDRESS: _____		
7.	MODE OF PAYMENT OF CHARTER COSTS. +++++ +++ [Glo-JET shall NOT ACCEPT CASH PAYMENT]++	PLEASE TICK APPROPRIATELY:		
		SWIFT WIRE: <input type="checkbox"/>	CREDIT CARD: <input type="checkbox"/>	

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VERY IMPORTANT. Kindly note that Glo-JET International Corp shall only permit take-off with these details. Incorrect/inconsistent details shall lead to immediate cancellation of the flight and there shall be **NO REFUND.**

8.	<p><u>WHO WILL BE THE MAIN CONSIGNEE FOR THE PROPOSED CARGO?</u></p> <p>THE CUSTOMER? <input style="width: 80px; height: 30px; border: 1px solid black;" type="text"/></p> <p><u>JOINTLY:</u> <input style="width: 80px; height: 30px; border: 1px solid black;" type="text"/></p> <p style="text-align: center;">THAT IS: BOTH THE CUSTOMER AND HIS/HER BUSINESS ASSOCIATE (S)?</p>	<p><u>CONSIGNEE DETAILS: (FINAL/CONFIRMED)</u></p>
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<p>+++++ CRITICAL ASSESSMENT OF THE CUSTOMER'S BUSINESS PARTNERS AND/OR ASSOCIATES +++++</p>	
<p>HOW LONG HAVE YOU KNOWN YOUR SELLER, TRADE PARTNER OR SUPPLIER?</p> <p>_____</p> <p>IN A SCALE OF 0 TO 10, HOW DO YOU RATE YOUR ASSOCIATE/SELLER (IN TERMS OF COMFORT LEVEL, VIZ-À-VIZ, THE ENVISAGED TRANSACTION AND/OR BUSINESS ENGAGEMENT)?</p>	<p>ARE YOU AWARE THAT GLO-JET'S RESPONSIBILITY IS TO BE PAID, SCRUTINIZE THE LEGAL EXPORT DOCUMENTS AND IF APPROVED, PARK THE AIRCRAFT AT THE AUTHORIZED BAY AND PERMIT LOADING OF THE CARGO FOR ONWARD FLIGHT TO THE AGREED DESTINATION?</p> <p>YES, AM AWARE. <input style="width: 50px; height: 30px; border: 1px solid black;" type="text"/></p> <p>NO, AM NOT. <input style="width: 50px; height: 30px; border: 1px solid black;" type="text"/> REASON: _____</p>
<p>0 1 2 3 4 5 6 7 8 9 10</p>	<p>WHEN (AND HOW) DID YOU KNOW GLO-JET INTERNATIONAL CORP?</p> <p>WHEN? _____</p> <p>HOW? _____</p>
<p>HAVE YOU READ THROUGH GLO-JET INTERNATIONAL CORP'S STANDARD PROCEDURES FROM THE WEBSITE: www.glo-jet.com</p> <p>YES: <input style="width: 100px; height: 20px; border: 1px solid black;" type="text"/></p> <p>NO: <input style="width: 100px; height: 20px; border: 1px solid black;" type="text"/></p> <p>IF NO, WHY _____</p>	<p>HAVING DONE YOUR OWN INDIPENDENT DUE DILLIGENCE, DETAILED ASSESMENT AND BACKGROUND CHECK, DO YOU THINK GLO-JET HAS THE CAPACITY TO TRANSPORT THE CARGO TO YOUR DESTINATION?</p> <p>_____</p> <p>DO YOU HAVE CONFIDENCE IN GLO-JET AND ITS EMPLOYEES?</p> <p>ABSOLUTELY. YES I DO: <input style="width: 70px; height: 20px; border: 1px solid black;" type="text"/> NO, I DON'T: <input style="width: 70px; height: 20px; border: 1px solid black;" type="text"/></p>
<p>DO YOU HAVE ANY FORM OF DOUBT [<u>AS REGARDS YOUR ENVISAGED BUSINESS TRANSACTION</u>] WHICH YOU WOULD LIKE GLO-JET'S <u>FRAUD DETECTION AND PREVENTION UNIT (FDPU)</u> TO LOOK INTO, BEFORE SCHEDULING TAKE-OFF?</p>	

CRITICAL NOTES:

- a) In strict adherence to **LAWS OF PROCEEDS OF CRIME AND ANTI-MONEY LAUNDERING, COMBATING FINANCING OF TERRORISM (CFT)** and Central Bank's Financial Guidelines, kindly note that **THERE SHALL BE NO CASH PAYMENTS.**
- b) Glo-JET International Corp **IS NOT PARTY** to the private business dealings of the Customer. Neither is the company accredited to facilitate clearance of the CARGO. The customer must choose a reputable company of his/her choice to clear the goods, obtain proper legal documents which shall be submitted to Glo-JET's Department of Risk and Compliance for **VERIFICATION** before take-off. In case the Customer detects Fraud with his handlers and/or business partners, he/she must immediately send an email to Glo-JET's Fraud Detection and Prevention Unit (FDPU) via email: Fraud.Alerts@glo-jet.com

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**LEGAL DECLARATION:**

I hereby declare that the information provided above is **TRUE AND CORRECT** to the best of my knowledge. I equally understand that any wilful dishonesty unearthed by the Risk and Compliance Department [**Fraud Detection and Prevention Unit (FDPU)**], shall lead to immediate criminal prosecution within the relevant jurisdictions - in conformity to the Local Laws and/or any other Relevant Laws from the Country of Origin, Transit and Destination. Legal action notwithstanding, the Department of Flight Operations shall – hitherto – proceed with the cancellation of the flight to customer’s chosen destination. If charter funds have been remitted to Glo-JET International Corp accounts in any of the forty-six (46) Regional/Continental Footprints, as per the contract terms and conditions, there shall be:

**NO REFUND AND/OR CREDIT.**

**CONTRACTUAL INDEMNITY:** In my capacity as the main customer seeking charter hire services, I fully indemnify, hold harmless and defend Glo-JET International Corp and its directors, officers, employees, agents, shareholders and affiliates from and against all claims (*definitive or imagined*), demands, actions, civil/criminal lawsuits, damages, liabilities, losses, settlements, judgments, costs and expenses (*including but not limited to reasonable attorney’s fees and costs*), whether or not involving a third party claim, which arise out of or relate to any act or omission during the period that the charter contract shall be **ACTIVE** and after the closure of my file. This indemnity is clustered as infinity. I equally aver and affirm that I shall, hitherto, **NOT** share or communicate unsubstantiated statements while making reference to Glo-JET International Corp as a result of a would-be disagreement between my company and close business partners. I take cognizant of the fact that Glo-JET International Corp **DOES NOT AND WILL NOT** play any role in processing my official customs export documents. Any anomaly arising from the same **SHALL NOT** be blamed on Glo-JET International Corp and its employees. I also irrevocably confirm that my company, or close associates (*including attorneys on retainer*) **SHALL NOT** bring forth any court proceedings (civil or criminal) as a result of failure to complete the business transaction with my trade partners. Having been satisfied with the information provided to me by Glo-JET International Corp, before making full payment for the charter services, which ultimately raises my comfort level, I authoritatively certify that Glo-JET International Corp, in any of its forty-six (46) global footprints, hold no responsibility in contractual obligations with our associates and/or suppliers. I shall, therefore, take full responsibility should the booked flight not take-off as per schedule.

**CUSTOMER’S NAME** : \_\_\_\_\_

**CUSTOMER’S SIGNATURE** : \_\_\_\_\_

**PASSPORT NUMBER** : \_\_\_\_\_

**LOCATION FROM WHERE YOU ARE SIGNING** : \_\_\_\_\_

**DATE: (YYYY)** \_\_\_\_\_ **(MM)** \_\_\_\_\_ **(DD)** \_\_\_\_\_ **TIME:** \_\_\_\_\_

